



PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application Number	09/683,179
		Filing Date	11/29/2001
		First Named Inventor	Comiskey
		Group Art Unit	2873
		Examiner Name	
Total Number of Pages in This Submission	10	Attorney Docket Number	H-312

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Request for Corrected Filing Receipt
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application	Remarks	
<input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	David J. Cole
Signature	
Date	12/19/2001

CERTIFICATE OF MAILING

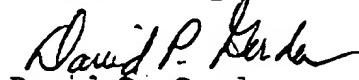
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, Washington, DC 20231 on this date: _____

Typed or printed name		
Signature		Date

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

provided on a separate sheet, as required by U.S. practice. New claim 9 has been provided to remove multiple dependencies and put the claims in acceptable form for allowance.

Respectfully submitted,

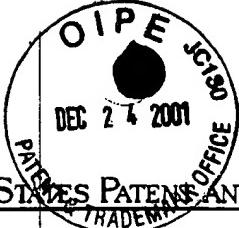


David P. Gordon

Reg. #29,996

Attorney for Applicant(s)

65 Woods End Road
Stamford, CT 06905
(203) 329-1160



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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/683,179	11/29/2001	Barrett Comiskey	H-312

26245
 DAVID J COLE
 E INK CORPORATION
 733 CONCORD AVE
 CAMBRIDGE, MA 02138-1002

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JAN 15 2002

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CONFIRMATION NO. 7982

FORMALITIES LETTER



OC000000007161765

Date Mailed: 12/06/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
 Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Natick Massachusetts, 01760 US

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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 65.00)

Complete if Known

Application Number	09/683,179
Filing Date	11/29/2001
First Named Inventor	Comiskey
Examiner Name	
Group Art Unit	2873
Attorney Docket No.	H-312

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number	501162
Deposit Account Name	E Ink Corporation

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
 Applicant claims small entity status. See 37 CFR 1.27

2. Payment Enclosed:

Check Credit card Money Order Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101	740	201 370 Utility filing fee	
106	330	206 165 Design filing fee	
107	510	207 255 Plant filing fee	
108	740	208 370 Reissue filing fee	
114	160	214 80 Provisional filing fee	

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	-20** =	X	=
Multiple Dependent	-3** =	X	=

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description
103	18	203 9 Claims in excess of 20
102	84	202 42 Independent claims in excess of 3
104	280	204 140 Multiple dependent claim, if not paid
109	84	209 42 ** Reissue independent claims over original patent
110	18	210 9 ** Reissue claims in excess of 20

12/27/2001 EAREGAY1 00000025 501162 (and overpaid patent)

01 FC:25 65.00 CH SUBTOTAL (2) (\$)

** or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105	130	205 65 Surcharge - late filing fee or oath	65.00
127	50	227 25 Surcharge - late provisional filing fee or cover sheet	
139	130	139 130 Non-English specification	
147	2,520	147 2,520 For filing a request for ex parte reexamination	
112	820*	112 820* Requesting publication of SIR prior to Examiner action	
113	1,840*	113 1,840* Requesting publication of SIR after Examiner action	
115	110	215 55 Extension for reply within first month	
116	400	216 200 Extension for reply within second month	
117	920	217 460 Extension for reply within third month	
118	1,440	218 720 Extension for reply within fourth month	
128	1,960	228 980 Extension for reply within fifth month	
119	320	219 160 Notice of Appeal	
120	320	220 160 Filing a brief in support of an appeal	
121	280	221 140 Request for oral hearing	
138	1,510	138 1,510 Petition to institute a public use proceeding	
140	110	240 55 Petition to revive - unavoidable	
141	1,280	241 640 Petition to revive - unintentional	
142	1,280	242 640 Utility issue fee (or reissue)	
143	460	243 230 Design issue fee	
144	620	244 310 Plant issue fee	
122	130	122 130 Petitions to the Commissioner	
123	50	123 50 Processing fee under 37 CFR 1.17(q)	
126	180	126 180 Submission of Information Disclosure Stmt	
581	40	581 40 Recording each patent assignment per property (times number of properties)	
146	740	246 370 Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249 370 For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279 370 Request for Continued Examination (RCE)	
169	900	169 900 Request for expedited examination of a design application	
Other fee (specify) _____			

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 65.00)

SUBMITTED BY

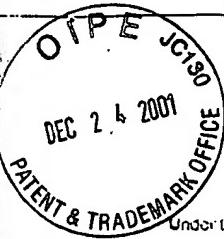
Complete (if applicable)

Name (Print/Type)	David J. Cole	Registration No. (Attorney/Agent)	29629	Telephone	617-499-6069
Signature	<i>David J. Cole</i>			Date	12/19/2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

12/27/2001 EAREGAY1 00000025 03603176
01 FC:205
65.00 CH



Please type a plus sign (+) inside this box → +

P: O/SB/18 (8-00)
Approved for use through 03/31/2002. GMB 0651-0032
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE
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PROVISIONAL APPLICATION FOR PATENT COVER SHEET

This is a request for filing a PROVISIONAL APPLICATION FOR PATENT under 37 CFR 1.53(c).

INVENTOR(S)					
Given Name (first and middle if any)	Family Name or Surname	Residence (City and either State or Foreign Country)			
Frank Q. Jian-Lar Kent Young Liang Shuren	LI QIU CHU ZHU	10206 Crested Iris Drive, Montgomery Village, MD 20886 119 Elmcroft Square, Rockville, MD 20850 10123 Starling Terrace, Rockville, MD 20850 14110 Grand Pre Road #33, Silver Spring, MD 20906			
<input type="checkbox"/> Additional inventors are being named on the _____ separately numbered sheets attached hereto					
TITLE OF THE INVENTION (200 characters max)					
Target Agglutination Test					
Direct all correspondence to:					
CORRESPONDENCE ADDRESS					
<input type="checkbox"/> Customer Number	→		Place Customer Number Bar Code Label here		
OR			Type Customer Number here		
<input checked="" type="checkbox"/> Firm or Individual Name	Jeffrey I. Auerbach				
Address			Linlak, Beronato, Longacre & White		
Address			6550 Rock Spring Drive, Suite 240		
City			Bethesda	State	MD
Country			USA	Telephone	301-896-0600
Fax			301-896-0607		
ENCLOSED APPLICATION PARTS (check all that apply)					
<input checked="" type="checkbox"/> Specification	Number of Pages	28	<input type="checkbox"/> CD(s), Number		
<input checked="" type="checkbox"/> Drawing(s)	Number of Sheets	4	<input type="checkbox"/> Other (specify)		
<input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76					
METHOD OF PAYMENT OF FILING FEES FOR THIS PROVISIONAL APPLICATION FOR PATENT (check one)					
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.	FILING FEE				
<input checked="" type="checkbox"/> A check or money order is enclosed to cover the filing fees	AMOUNT (\$)				
<input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge filing fees or credit any overpayment to Deposit Account Number	60542	\$75.00			
<input type="checkbox"/> Payment by credit card. Form PTO-2038 is attached.	VAXIM CONFIDENTIAL				
The invention was made by an agency of the United States Government or under a contract with an agency of the United States Government.					
<input checked="" type="checkbox"/> No.					
<input type="checkbox"/> Yes, the name of the U.S. Government agency and the Government contract number are: _____					
Respectfully submitted,					
SIGNATURE: 					
Date: 8/8/01					
TYPED or PRINTED NAME: Jeffrey I. Auerbach					
TELEPHONE: 301-896-0600					
REGISTRATION NO. 32,680					
(if appropriate)					
Docket Number: 07524.113					

USE ONLY FOR FILING A PROVISIONAL APPLICATION FOR PATENT

This collection of information is required by 37 CFR 1.51. The information is used by the public to file (and by the PTO to process) a provisional application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 8 hours to complete, including comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Box Provisional Application, Assistant Commissioner for Patents, Washington, D.C.



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INVENTION & IDEAS



FACSIMILE

To: DEBRA CARTER

Date: 12-21-01

Fax: 703-308-7751

Phone:

Company: PTDL

Client Ref. #:

From: DOM J. GAVINO

Phone: 408-730-7289

No. Pages: 1

This fax includes:

Search Results

Patent Order

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New Customer Information

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Comments: 2 DISCLOSURES WERE MAILED TODAY TO:

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1. SV01459

2. SV01460

465 S. Mathilda Ave.
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OIPE

Section
2600

2673

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit: Not yet known
Application of: Comiskey et al.
Serial No.: 09/683,179
Filed: November 29, 2001
For: ADDRESSING SCHEMES FOR ELECTRONIC DISPLAYS

Cambridge, Massachusetts
December 19, 2001

RESPONSE TO NOTICE TO FILE MISSING PARTS
OF NONPROVISIONAL APPLICATION

Box Missing Parts
Assistant Commissioner for Patents
Washington DC 20231

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Sir:

In response to the Notice to File Missing Parts of Non-Provisional Application, issued December 6, 2001 in connection with the above application, applicants are filing herewith a Declaration signed by the inventors, and a Fee Transmittal (Form PTO/SB/17) authorizing the charging of the surcharge required by 37 CFR 1.16(e) to the assignee's Deposit Account. The duplicate copy of the Notice also accomapnies this filing.

Please note the attached Request for Correction of Filing Receipt.

Respectfully submitted

David J. Cole

David J. Cole
Registration No. 29629

E INK Corporation
733 Concord Avenue
Cambridge MA 02138
Telephone (617) 499-6069
Fax (617) 499-6200
E-mail dcole@eink.com



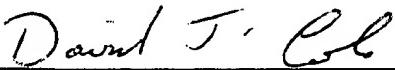
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JAN 15 2002

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I hereby certify that this Transmittal Form (PTO/SB/21), Fee Transmittal (PTO/SB/17), Response to Notice to File Missing Parts, Declaration, Application Data Sheet, Copy of Notice to File Missing Parts, and Request for Corrected Filing Receipt are being facsimile transmitted to the United States Patent and Trademark Office on December 19, 2001 (Total 11 pages, including this Certificate).



Signature

David J. Cole

Typed or printed name of person signing Certificate

Note: Each paper must have its own certificate of transmission, or this certificate must identify each submitted paper.